An introduction to Linux IPC

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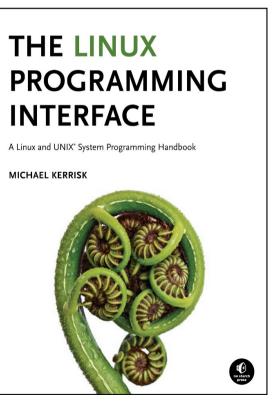
Goal

- Limited time!
- Get a flavor of main IPC methods



Me

- Programming on UNIX & Linux since 1987
- Linux man-pages maintainer
 - http://www.kernel.org/doc/man-pages/
 - Kernel + glibc API
- Author of:



Further info: http://man7.org/tlpi/



You

- Can read a bit of C
- Have a passing familiarity with common syscalls
 - fork(), open(), read(), write()



There's a lot of IPC

- Pipes
- FIFOs
- Pseudoterminals
- Sockets
 - Stream vs Datagram (vs Seq. packet)
 - UNIX vs Internet domain
- POSIX message queues
- POSIX shared memory
- POSIX semaphores
 - Named, Unnamed
- System V message queues
- System V shared memory
- System V semaphores

- Shared memory mappings
 - File vs Anonymous
- Cross-memory attach
 - proc_vm_readv() / proc_vm_writev()
- Signals
 - Standard, Realtime
- Eventfd
- Futexes
- Record locks
- File locks
- Mutexes
- Condition variables
- Barriers
- Read-write locks

It helps to classify

- Pipes
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- Pseudoterminals
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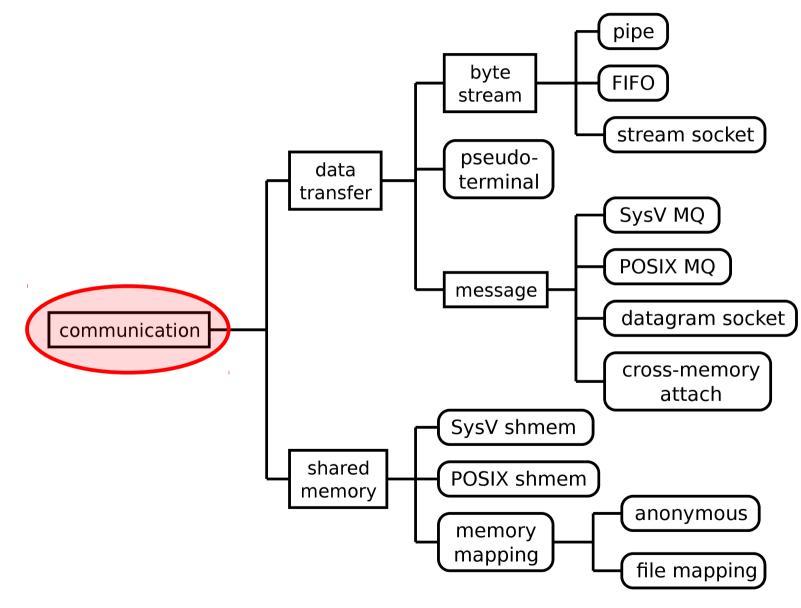
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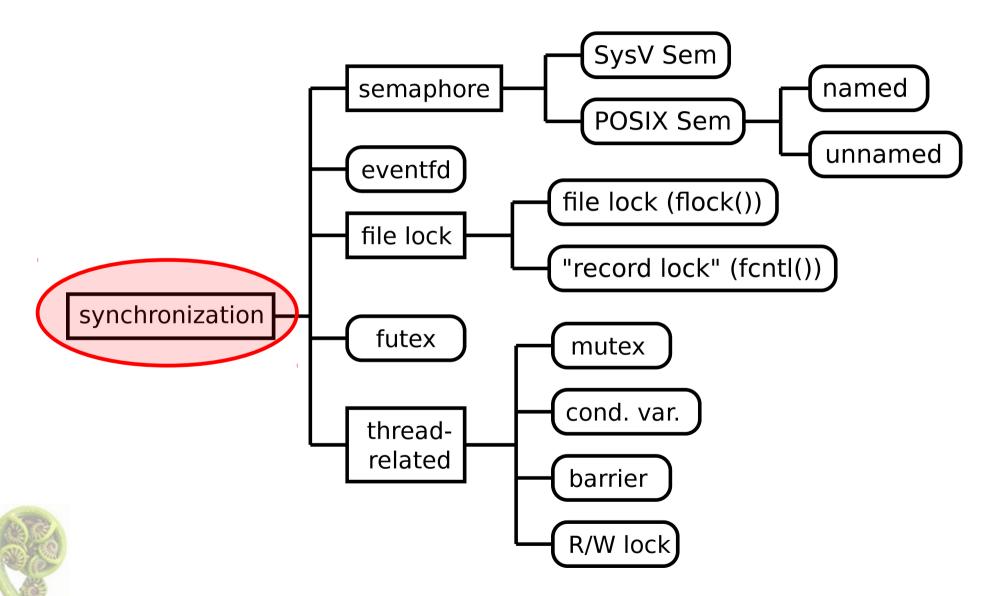
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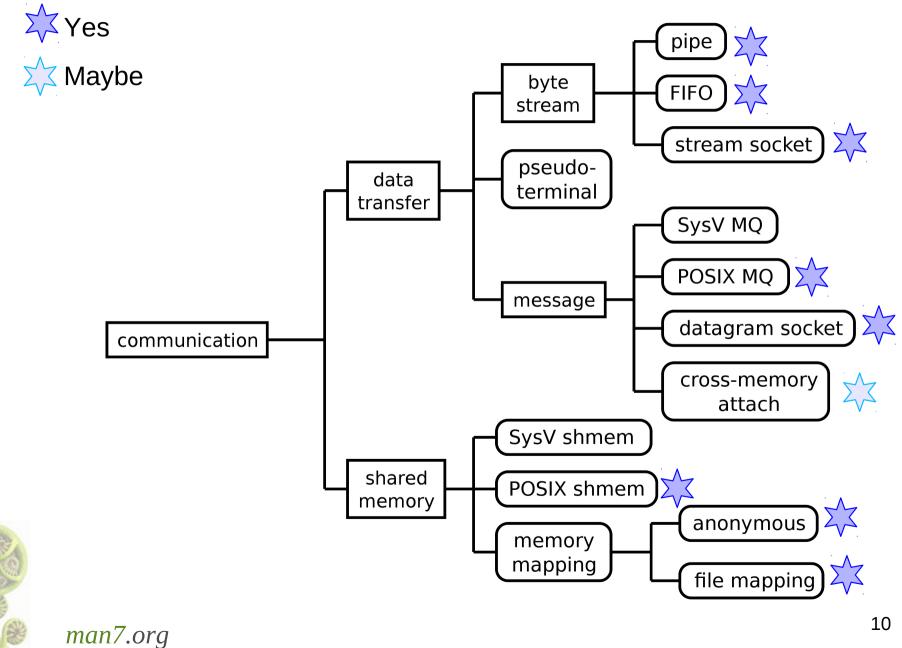
Communication



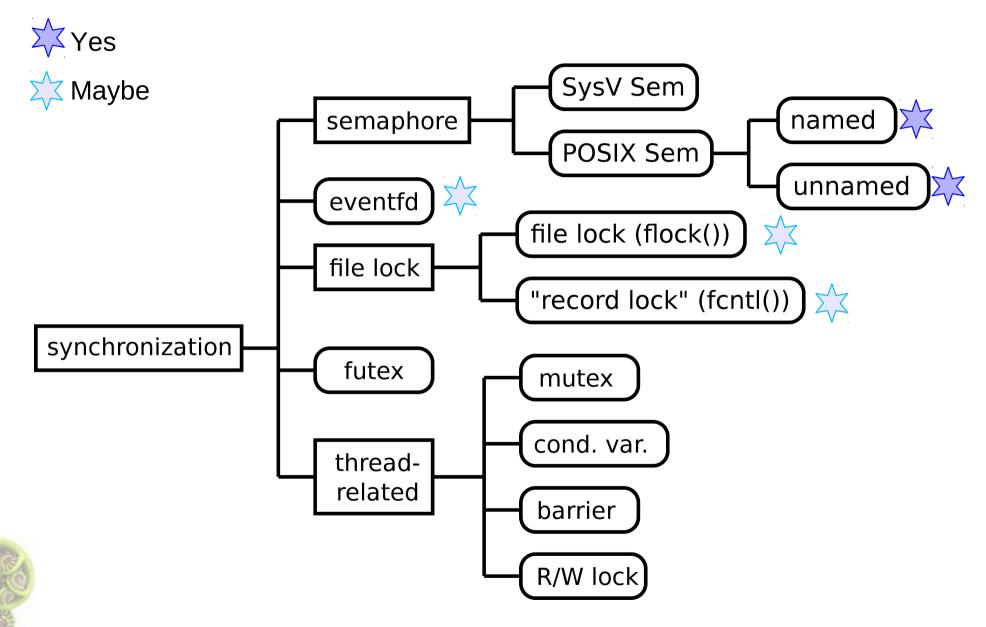
Synchronizatoin



What we'll cover



What we'll cover



What is not covered

- Signals
 - Can be used for communication and sync, but poor for both
- System IPC
 - Similar in concept to POSIX IPC
 - But interface is terrible!
 - Use POSIX IPC instead
- Thread sync primitives
 - Mutexes, condition vars, barriers, R/W locks
 - Can use process shared, but rare (and nonportable)
- Futexes
 - Very low level
 - Used to implement POSIX sems, mutexes, condvars
- Pseudoterminals

Specialized use cases

Communication techniques

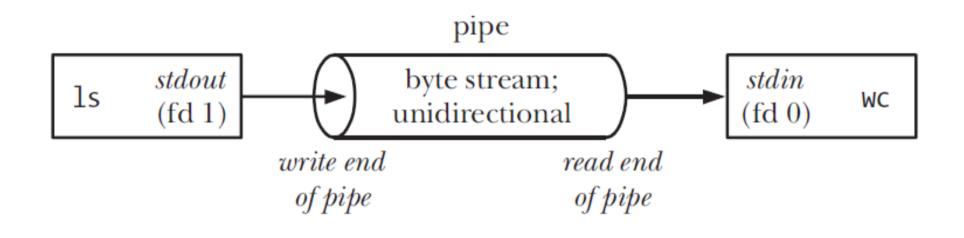






Pipes

ls | wc -l





Pipes

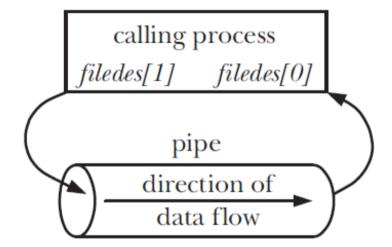
- Pipe == byte stream buffer in kernel
 - Sequential (can't *lseek()*)
 - Multiple readers/writers difficult
- Unidirectional
 - Write end + read end



Creating and using pipe

Created using pipe():

```
int filedes[1];
pipe(filedes);
```



. . .

write(filedes[1], buf, count); read(filedes[0], buf, count);



Sharing a pipe

- Pipes are anonymous
 - No name in file system
- How do two processes share a pipe?



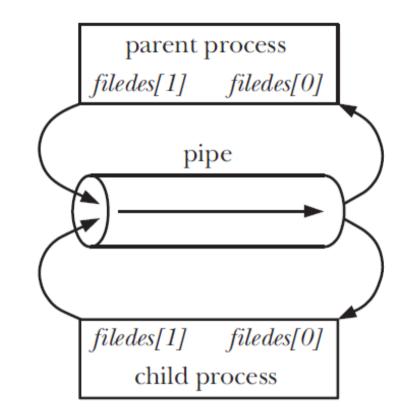
Sharing a pipe

```
int filedes[2];
```

```
pipe(filedes);
```

child_pid = fork();

fork() duplicates parent's file descriptors





Sharing a pipe

```
int filedes[2];
                                            parent process
pipe(filedes);
                                           filedes[1]
child_pid = fork();
                                                pipe
if (child_pid == 0) {
    close(filedes[1]);
    /* Child now reads */
} else {
    close(filedes[0]);
                                                   filedes[0]
    /* Parent now writes */
                                             child process
```

(error checking omitted!)



Closing unused file descriptors

- Parent and child must close unused descriptors
 - Necessary for correct use of pipes!
- close() write end
 - read() returns 0 (EOF)
- close() read end
 - *write()* fails with EPIPE error + SIGPIPE signal



```
// http://man7.org/tlpi/code/online/dist/pipes/simple_pipe.c.html
```

```
// Create pipe, create child, parent writes argv[1] to pipe, child reads
                              /* Create the pipe */
   pipe(pfd);
   switch (fork()) {
                              /* Child - reads from pipe */
   case 0:
                              /* Write end is unused */
       close(pfd[1]);
       for (;;) {
                  /* Read data from pipe, echo on stdout */
           numRead = read(pfd[0], buf, BUF_SIZE);
           if (numRead <= 0) break; /* End-of-file or error */</pre>
           write(STDOUT_FILENO, buf, numRead);
       }
       write(STDOUT_FILENO, "\n", 1);
       close(pfd[0]);
        . . .
   default:
                               /* Parent - writes to pipe */
       close(pfd[0]);
                      /* Read end is unused */
       write(pfd[1], argv[1], strlen(argv[1]));
                     /* Child will see EOF */
       close(pfd[1]);
        . . .
```

I/O on pipes

- read() blocks if pipe is empty
- write() blocks if pipe is full
- Writes <= **PIPE_BUF** guaranteed to be atomic
 - Multiple writers > PIPE_BUF may be interleaved
 - POSIX: **PIPE_BUF** at least 512B
 - Linux: **PIPE_BUF** is 4096B
- Can use *dup2()* to connect filters via a pipe
 - http://man7.org/tlpi/code/online/dist/pipes/pipe_ls_wc.c.html



Pipes have limited capacity

- Limited capacity
 - If pipe fills, *write()* blocks
 - Before Linux 2.6.11: 4096 bytes
 - Since Linux 2.6.11: 65,536 bytes
 - Apps should be designed not to care about capacity
 - But, Linux has fcntl(fd, F_SETPIPE_SZ, size)
 - (not portable)



FIFOs (named pipes)



FIFO (named pipe)

- (Anonymous) pipes can only be used by related processes
- FIFOs == pipe with name in file system
- Creation:
 - *mkfifo(pathname, permissions)*
- Any process can open and use FIFO
- I/O is same as for pipes



Opening a FIFO

- open(pathname, O_RDONLY)
 - Open read end
- open(pathname, O_WRONLY)
 - Open write end
- open() locks until other end is opened
 - Opens are synchronized
 - open(pathname, O_RDONLY | O_NONBLOCK) can be useful



POSIX Message Queues



Highlights of POSIX MQs

- Message-oriented communication
 - Receiver reads messages one at a time
 - No partial or multiple message reads
 - Unlike pipes, multiple readers/writers can be useful
- Messages have priorities
 - Delivered in priority order
- Message notification feature



POSIX MQ API

- Queue management (analogous to files)
 - mq_open(): open/create MQ, set attributes
 - mq_close(): close MQ
 - mq_unlink(): remove MQ pathname
- I/O:
 - mq_send(): send message
 - mq_receive(): receive message
- Other:
 - mq_setattr(), mq_getattr(): set/get MQ attributes
 - mq_notify(): request notification of msg arrival

Opening a POSIX MQ

- mqd = mq_open(name, flags [, mode, &attr]);
- Open+create new MQ / open existing MQ
- name has form / somename
 - Visible in a pseudo-filesystem
- Returns *mqd_t*, a message queue descriptor
 - Used by rest of API



Opening a POSIX MQ

- mqd = mq_open(name, flags [, mode, &attr]);
- flags (analogous to open()):
 - O_CREAT create MQ if it doesn't exist
 - **O_EXCL** create MQ exclusively
 - O_RDONLY, O_WRONLY, O_RDWR just like file open
 - O_NONBLOCK non-blocking I/O
- *mode* sets permissions
- <u>&attr</u>: attributes for new MQ
 - NULL gives defaults



Opening a POSIX MQ

• Examples:

// Open existing queue for reading
mqd = mq_open("/mymq", O_RDONLY);



Unlink a POSIX MQ

- mq_unlink(name);
- MQs are reference-counted
 - => MQ removed only after all users have closed



Nonblocking I/O on POSIX MQs

- Message ques have a limited capacity
 - Controlled by attributes
- By default:
 - mq_receive() blocks if no messages in queue
 - mq_send() blocks if queue is full
- O_NONBLOCK:
 - EAGAIN error instead of blocking
 - Useful for emptying queue without blocking



Sending a message

- mq_send(mqd, msg_ptr, msg_len, msgprio);
 - mqd MQ descriptor
 - *msg_ptr* pointer to bytes forming message
 - *msg_len* size of message
 - msgprio priority
 - non-negative integer
 - 0 is lowest priority



Sending a message

- mq_send(mqd, msg_ptr, msg_len, msgprio);
- Example:

http://man7.org/tlpi/code/online/dist/pmsg/pmsg_send.c.html



Receiving a message

- nb = mq_receive(mqd, msg_ptr, msg_len, &prio);
 - mqd MQ descriptor
 - *msg_ptr* points to buffer that receives message
 - msg_len size of buffer
 - &prio receives priority
 - *nb* returns size of message (bytes)



Receiving a message

- nb = mq_receive(mqd, msg_ptr, msg_len, &prio);
- Example:

```
const int BUF_SIZE = 1000;
char buf[BUF_SIZE];
unsigned int prio;
...
mqd = mq_open("/mymq", O_RDONLY);
nbytes = mq_receive(mqd, buf,
BUF_LEN, &prio);
```

http://man7.org/tlpi/code/online/dist/pmsg/pmsg_receive.c.html



POSIX MQ notifications

- mq_notify(mqd, notification);
- One process can register to receive notification
- Notified when new msg arrives on *empty* queue
 - & only if another process is not doing *mq_receive()*
- *notification* says how caller should be notified
 - Send me a signal
 - Start a new thread (see *mq_notify(3)* for example)
- One-shot; must re-enable
 - Do so before emptying queue!



POSIX MQ attributes

struct mq_attr {

long mq_flags; // MQ description flags // 0 or O_NONBLOCK // [mq_getattr(), mq_setattr()] long mq_maxmsg; // Max. # of msgs on queue // [mq_open(), mq_getattr()] long mq_msgsize; // Max. msg size (bytes) // [mq_open(), mq_getattr()] long mq_curmsgs; // # of msgs currently in queue // [mq_getattr()]



};

POSIX MQ details

- Per-process and system-wide limits govern resource usage
- Can mount filesystem to obtain info on MQs:

```
# mkdir /dev/mqueue
# mount -t mqueue none /dev/mqueue
# ls /dev/mqueue
mymq
# cat /dev/mqueue/mymq
QSIZE:129 NOTIFY:2 SIGNO:0 NOTIFY_PID:8260
```

See mq_overview(7)



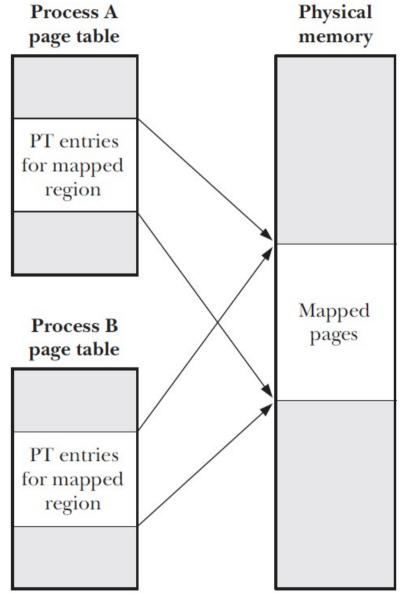


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- Processes share same physical pages of memory
- Communication == copy data to memory
- Efficient; compare
 - Data transfer: user space ==> kernel ==> user space
 - Shared memory: single copy in user space
- But, need to synchronize access...



 Processes share physical pages of memory





- We'll cover three types:
 - Shared anonymous mappings
 - related processes
 - Shared file mappings
 - unrelated processes, backed by file in traditional filesystem
 - POSIX shared memory
 - unrelated processes, without use of traditional filesystem



mmap()

- Syscall used in all three shmem types
- Rather complex:
 - void *mmap(void *daddr, size_t len, int prot, int flags, int fd, off_t offset);

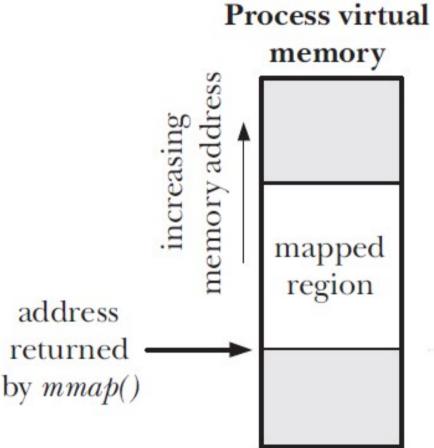


mmap()

- addr = mmap(daddr, len, prot, flags, fd, offset);
- *daddr* choose where to place mapping;
 - Best to use NULL, to let kernel choose
- *len* size of mapping
- prot memory protections (read, write, exec)
- *flags* control behavior of call
 - MAP_SHARED, MAP_ANONYMOUS
- *fd* file descriptor for file mappings
- offset starting offset for mapping from file
- addr returns address used for mapping

Using shared memory

- addr = mmap(daddr, len, prot, flags, fd, offset);
- addr looks just like Proceeding of the any C pointer
 But, changes to region You have a set of the set of the angle of the
 - But, changes to region seen by all process that map it





Shared anonymous mapping



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Shared anonymous mapping

- Share memory between *related* processes
- mmap() fd and offset args unneeded

- Allocates zero-initialized block of *length* bytes
- Parent and child share memory at addr:length
 - http://man7.org/tlpi/code/online/dist/mmap/anon_mmap.c.html



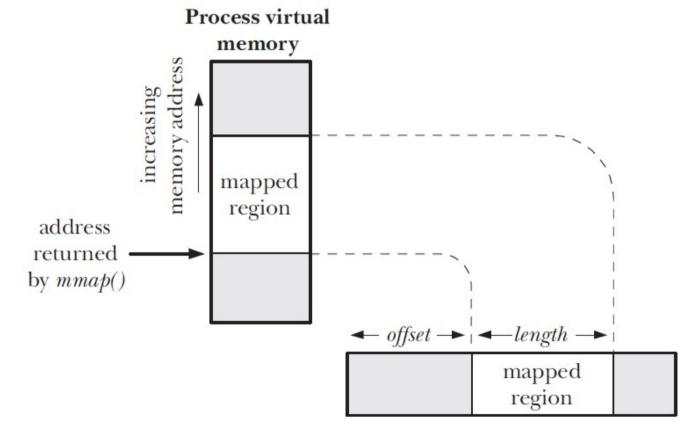
Shared anonymous mapping





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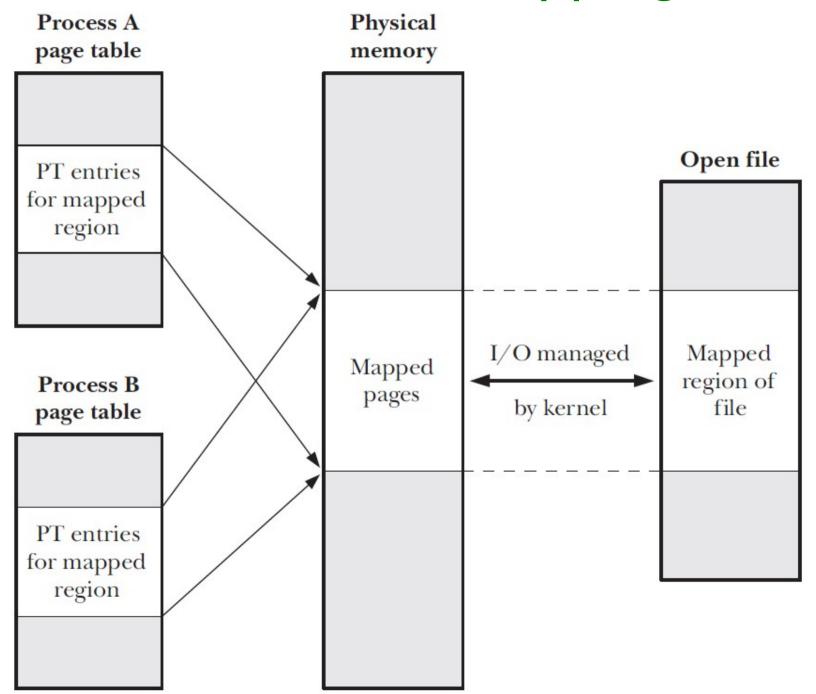
- Share memory between unrelated processes, backed by file
- *fd* = *open(...)*; *addr* = *mmap(..., fd, offset)*;





- *fd* = *open(...)*; *addr* = *mmap(..., fd, offset)*;
- Contents of memory initialized from file
- Updates to memory automatically carried through to file ("memory-mapped I/O")
- All processes that map same region of file share same memory





```
fd = open(pathname, O_RDWR);
```

close(fd); /* No longer need 'fd' */

Updates are: visible to other process sharing mapping; and carried through to file



POSIX shared memory



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58

POSIX shared memory

- Share memory between unrelated process, without creating file in (traditional) filesystem
 - Don't need to create a file
 - Avoid file I/O overhead



POSIX SHM API

- Object management
 - shm_open(): open/create SHM object
 - *mmap()*: map SHM object
 - shm_unlink(): remove SHM object pathname
- Operations on SHM object via *fd* returned by *shm_open()*:
 - *fstat()*: retrieve info (size, ownership, permissions)
 - *ftruncate()*: change size
 - *fchown()*: *fchmod()*: change ownership, permissions



Opening a POSIX SHM object

- fd = shm_open(name, flags, mode);
- Open+create new / open existing SHM object
- name has form / somename
 - Can be seen in dedicated *tmpfs* at /dev/shm
- Returns *fd*, a file descriptor
 - Used by rest of API



Opening a POSIX SHM object

- fd = shm_open(name, flags, mode);
- flags (analogous to open()):
 - O_CREAT create SHM if it doesn't exist
 - **O_EXCL** create SHM exclusively
 - **O_RDONLY**, **O_RDWR** indicates type of access
 - O_TRUNC truncate existing SHM object to zero length
- *mode* sets permissions
 - MBZ if **O_CREAT** not specified



Create and map new SHM object

Create and map a new SHM object of size bytes:



Map existing SHM object

• Map an existing SHM object of unknown size:

```
fd = shm_open("/myshm", O_RDWR, 0); // No O_CREAT
```

```
// Use object size as length for mmap()
struct stat sb;
fstat(fd, &sb);
```



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http://man7.org/tlpi/code/online/dist/pshm/pshm_read.c.html



• How to prevent two process updating shared memory at the same time?



Synchronization



Synchronization

- Synchronize access to a shared resource
 - Shared memory
 - Semaphores
 - File
 - File locks



POSIX semaphores



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POSIX semaphores

- Integer maintained inside kernel
- Kernel blocks attempt to decrease value below zero
- Two fundamental operations:
 - sem_post(): increment by 1
 - sem_wait(): decrement by 1
 - May block



POSIX semaphores

- Semaphore represents a shared resource
- E.g., N shared identical resources ==> initial value of semaphore is N
- Common use: binary value
 - Single resource (e.g., shared memory)



Unnames and named semaphores

- Two types of POSIX semaphore:
 - Unnamed
 - Embedded in shared memory
 - Named
 - Independent, named objects



Unnamed semaphores API

- sem_init(semp, pshared, value): initialize semaphore pointed to by semp to value
 - sem_t *semp
 - *pshared*: 0, thread sharing; != 0, process sharing
- sem_post(semp): add 1 to value
- sem_wait(semp): subtract 1 from value
- sem_destroy(semp): free semaphore, release resources back to system
 - Must be no waiters!

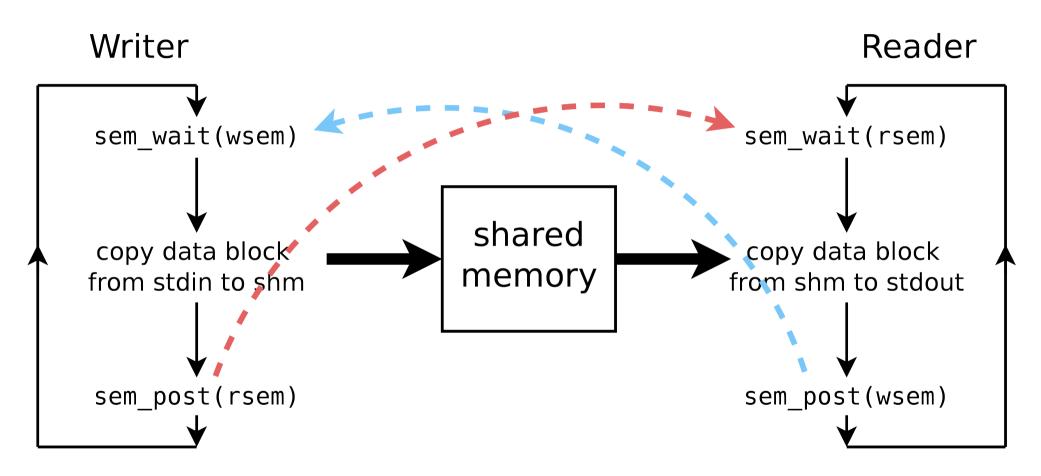


Unnamed semaphores example

- Two processes, writer and reader
- Sending data through POSIX shared memory
- Two unnamed POSIX semaphores inside shm enforce alternating access to shm



Unnamed semaphores example





Header file

```
#define BUF_SIZE 1024
struct shmbuf { // Buffer in shared memory
   sem_t wsem; // Writer semaphore
   sem_t rsem; // Reader semaphore
   int cnt; // Number of bytes used in 'buf'
   char buf[BUF_SIZE]; // Data being transferred
}
```



Writer

```
fd = shm_open(SHM_PATH, O_CREAT|O_EXCL|O_RDWR, OBJ_PERMS);
ftruncate(fd, sizeof(struct shmbuf));
shmp = mmap(NULL, sizeof(struct shmbuf),
               PROT_READ | PROT_WRITE, MAP_SHARED, fd, 0);
sem_init(&shmp->rsem, 1, 0);
sem_init(&shmp->wsem, 1, 1); // Writer gets first turn
for (xfrs = 0, bytes = 0; ; xfrs++, bytes += shmp->cnt) {
   sem_wait(&shmp->wsem); // Wait for our turn
    shmp->cnt = read(STDIN_FILENO, shmp->buf, BUF_SIZE);
   sem_post(&shmp->rsem); // Give reader a turn
   if (shmp->cnt == 0)
                              // EOF on stdin?
       break;
}
sem_wait(&shmp->wsem); // Wait for reader to finish
  Clean up
                                                     76
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```

Reader

```
fd = shm_open(SHM_PATH, 0_RDWR, 0);
shmp = mmap(NULL, sizeof(struct shmbuf),
           PROT_READ | PROT_WRITE, MAP_SHARED, fd, 0);
for (xfrs = 0, bytes = 0; ; xfrs++) {
   sem_wait(&shmp->rsem); // Wait for our turn */
   if (shmp->cnt == 0) // Writer encountered EOF */
       break;
   bytes += shmp->cnt;
   write(STDOUT_FILENO, shmp->buf, shmp->cnt) != shmp->cnt);
   sem_post(&shmp->wsem); // Give writer a turn */
}
sem_post(&shmp->wsem); // Let writer know we're finished
```



Named semaphores API

- Object management
 - sem_open(): open/create semaphore
 - sem_unlink(): remove semaphore pathname



Opening a POSIX semaphore

- semp = sem_open(name, flags [, mode, value]);
- Open+create new / open existing semaphore
- name has form / somename
 - Can be seen in dedicated *tmpfs* at /dev/shm
- Returns <u>sem_t</u> *, reference to semaphore
 - Used by rest of API



Opening a POSIX semaphore

- semp = sem_open(name, flags [, mode, value]);
- *flags* (analogous to *open()*):
 - O_CREAT create SHM if it doesn't exist
 - **O_EXCL** create SHM exclusively
- If creating new semaphore:
 - mode sets permissions
 - *value* initializes semaphore







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81

Sockets

- Big topic
- Just a high-level view
- Some notable features when running as IPC



Sockets

- "A socket is endpoint of communication..."
 - ... you need two of them
- Bidirectional
- Created via:
 - fd = socket(domain, type, protocol);



Socket domains

- Each socket exists in a *domain*
- Domain determines:
 - Method of identifying socket ("address")
 - "Range" of communication
 - Processes on a single host
 - Across a network



Common socket domains

- UNIX domain (AF_UNIX)
 - Communication on single host
 - Address == file system pathname
- IPv4 domain (AF_INET)
 - Communication on IPv4 network
 - Address = IPv4 address (32 bit) + port number
- IPv6 domain (AF_INET6)
 - Communication on IPv6 network
 - Address = IPv6 address (128 bit) + port number



Socket type

- Determines semantics of communication
- Two main types available in all domains:
 - Stream (SOCK_STREAM)
 - Datagram (SOCK_DGRAM)
- UNIX domain (on Linux) also provides
 - Sequential packet (SOCK_SEQPACKET)



Stream sockets

- SOCK_STREAM
- Byte stream
- Connection-oriented
 - Like a two-party phone call
- Reliable == data arrives "intact" or not at all
- Intact:
 - In order

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Unduplicated



Internet domain: TCP protocol

Datagram sockets

- SOCK_DGRAM
- Message-oriented
- Connection-less
 - Like a postal system
- Unreliable; messages may arrive:
 - Duplicated
 - Out of order
 - Not at all

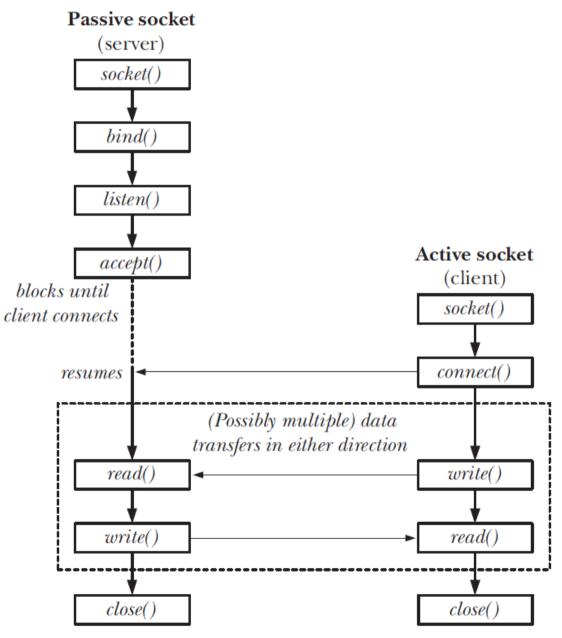


Internet domain: UDP protocol

Sequential packet sockets

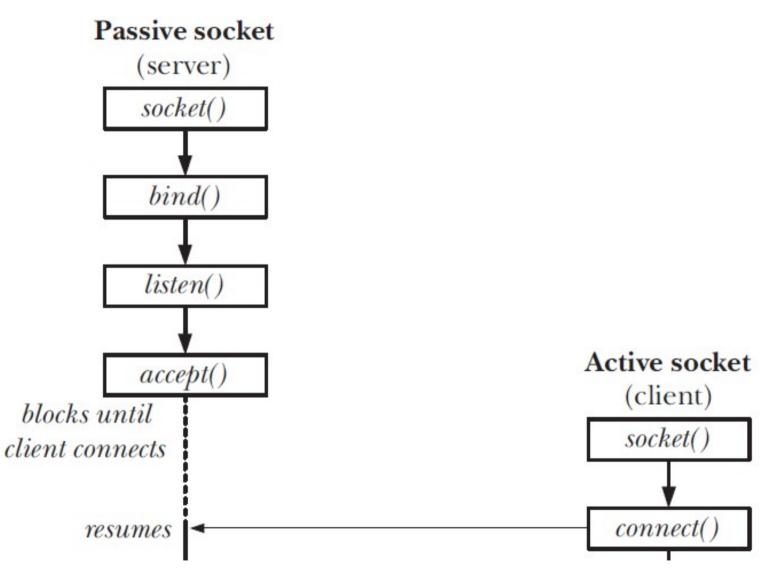
- SOCK_SEQPACKET
- Midway between stream and datagram sockets
 - Message-oriented
 - Connection-oriented
 - Reliable
- UNIX domain
 - In INET domain, only with SCTP protocol



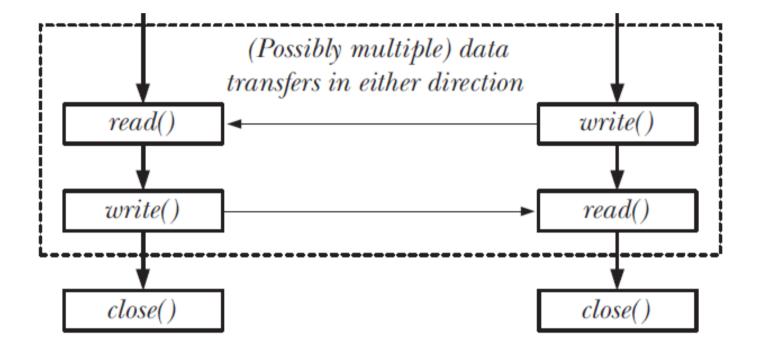




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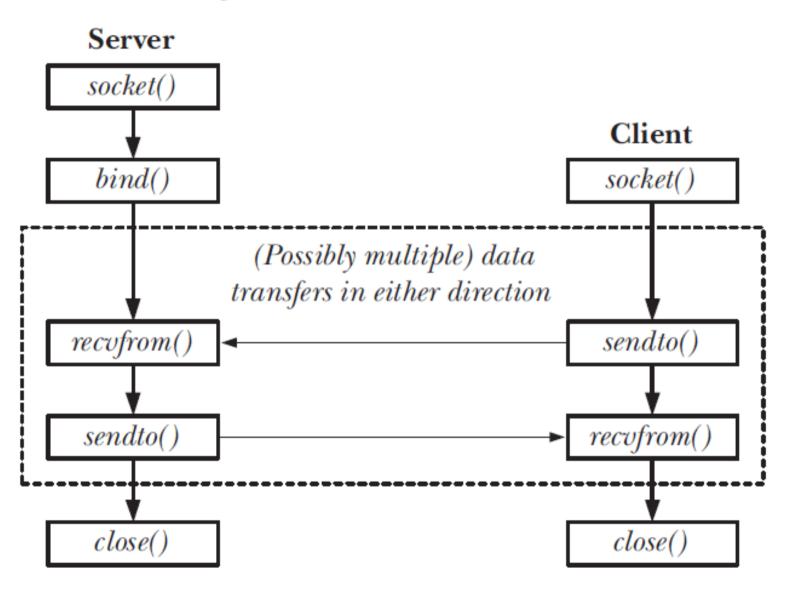






- *socket(SOCK_STREAM)* create a socket
- Passive socket:
 - *bind()* assign address to socket
 - *listen()* specify size of incoming connection queue
 - accept() accept connection off incoming queue
- Active socket:
 - connect() connect to passive socket
- I/O:
 - write(), read(), close()
 - send(), recv() socket specific flags

Datagram sockets API





Datagram sockets API

- *socket(SOCK_DGRAM)* create socket
- bind() assign address to socket
- sendto() send datagram to an address
- recvfrom() receive datagram and address of sender
- close()



Sockets: noteworthy points

- Bidirectional communication
- UNIX domain datagram sockets **are** reliable
- UNIX domain sockets can pass file descriptors
- Internet domain sockets are only method for network communication
- UDP sockets allow broadcast / multicast of datagrams
- socketpair()
 - UNIX domain
 - Bidirectional pipe

Other criteria affecting choice of an IPC mechanism



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Criteria for selecting an IPC mechanism

- The obvious
 - Consistency with application design
 - Functionality
- Let's look at some other criteria



IPC IDs and handles

- Each IPC object has:
 - ID the method used to identify an object
 - Handle the reference used in a process to access an open object



IPC IDs and handles

Facility type	Name used to identify object	Handle used to refer to object in programs
Pipe	, ,	file descriptor
•	no name	*
FIFO	pathname	file descriptor
UNIX domain socket	pathname	file descriptor
Internet domain socket	IP address + port number	file descriptor
System V message queue	System V IPC key	System V IPC identifier
System V semaphore	System V IPC key	System V IPC identifier
System V shared memory	System V IPC key	System V IPC identifier
POSIX message queue	POSIX IPC pathname	<i>mqd_t</i> (message queue descriptor)
POSIX named semaphore	POSIX IPC pathname	<i>sem_t</i> * (semaphore pointer)
POSIX unnamed semaphore	no name	<i>sem_t</i> * (semaphore pointer)
POSIX shared memory	POSIX IPC pathname	file descriptor
Anonymous mapping	no name	none
Memory-mapped file	pathname	file descriptor
<i>flock()</i> lock	pathname	file descriptor
<i>fcntl()</i> lock	pathname	file descriptor



File descriptor handles

- Some handles are file descriptors
- File descriptors can be multiplexed via poll() / select() /epoll
 - Sockets, pipes, FIFOs
 - On Linux, POSIX MQ descriptors are file descriptors
 - One good reason to avoid System V message queues



IPC access permissions

- How is access to IPC controlled?
- Possibilities
 - UID/GID + permissions mask
 - Related processes (via fork())
 - Other
 - e.g., Internet domain: application-determined



IPC access permissions

Facility type	Accessibility	
Pipe	only by related processes	
FIFO	permissions mask	
UNIX domain socket	permissions mask	
Internet domain socket	by any process	
System V message queue	permissions mask	
System V semaphore	permissions mask	
System V shared memory	permissions mask	
POSIX message queue	permissions mask	
POSIX named semaphore	permissions mask	
POSIX unnamed semaphore	permissions of underlying memory	
POSIX shared memory	permissions mask	
Anonymous mapping	only by related processes	
Memory-mapped file	permissions mask	
<i>flock()</i> file lock	<pre>open() of file</pre>	
<i>fcntl()</i> file lock	<pre>open() of file</pre>	



IPC object persistence

- What is the lifetime of an IPC object?
 - Process: only as long as held open by at least one process
 - Kernel: until next reboot
 - State persists even if no connected process
 - Filesystem: persists across reboot
 - Memory mapped file



IPC object persistence

Facility type	Persistence
Pipe	process
FIFO	process
UNIX domain socket	process
Internet domain socket	process
System V message queue	kernel
System V semaphore	kernel
System V shared memory	kernel
POSIX message queue	kernel
POSIX named semaphore	kernel
POSIX unnamed semaphore	depends
POSIX shared memory	kernel
Anonymous mapping	process
Memory-mapped file	file system
<i>flock()</i> file lock	process
<i>fcntl()</i> file lock	process



Thanks! And Questions

(slides up soon at http://man7.org/conf/)

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MICHAEL KERRISK



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